

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY DOCKET NO.

50553/60601

SERIAL NO.

10/627,498

APPLICANT(S): Farb et al.

FILING DATE:

July 25, 2003

ART UNIT:

1614

AUG 23 2004

UNITED STATES PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPR
BH	AA	5,849,895	12/1998	Daggett et al.	536	23.5	
↓	AB	5,888,996	3/1999	Farb			
↓	AC	6,083,941	7/2000	Farb			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES/NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

BH	CA	Durand et al., Proc. Natl. Acad. Sci., 89:9359-9363, (1992)
	CB	Durand et al., Proc. Natl. Acad. Sci., 90:6731-6735 (1993)
	CC	Williams et al., Mo. Pharmacol. 45(5):803-809 (1994)
	CD	Yaghoubi et al., Brain Res. 803:153-160 (1998)
	CE	Traynelis et al., J. Neurosci. 18(16):6163-6175 (1998)
	CF	Masuko et al., Mol. Pharmacol. 55:957-966 (1999)
	CG	Nakanishi et al., Proc. Natl. Acad. Sci., 89:8552-8556 (1992)
	CH	Irwin et al., Neuro. Letters, 141:30-34 (1992)
	CI	Laurie and Seeburg, Euro J. of Pharm. 268:335-345 (1994)
	CJ	McBain and Mayer, Physio. Reviews 74:723-760 (1994)
	CK	Farb and Gibbs, Neuroactive Steroids, CRC Press, New York, pp.23-36 (1996)
↓	CL	Irwin et al., J. Pharmacol. Exp. Ther. 271:677-682 (1994)
	CM	Park-Chung et al., Mol. Pharmacol. 46:146-150 (1994)

/Bruce Hissong/

08/20/2006

FORM PTO-1449			ATTY DOCKET NO. 50553/60601	SERIAL NO. 10/627,498
INFORMATION DISCLOSURE STATEMENT			APPLICANT(S): Farb et al.	
			FILING DATE: July 25, 2003	ART UNIT: 1614
UNITED STATES PATENT DOCUMENTS				
BH	CN	Park-Chung et al., Mol. Pharmacol., 52:1113-1123 (1997)		
	CO	Wu et al., Mol. Pharmacol., 40:333-336 (1991)		
	CP	Wisden and Seeburg, J. Neurosci., 13:3582-3598 (1993)		
	CQ	Anson et al., J. Neurosci., 18:581-589 (1998)		
	CR	Wafford et al., Mol. Pharmacol. 47:374-380 (1995)		
	CS	Weaver et al., Brain Res., 761:338-341 (1997)		
	CT	Weaver et al., Proc. Natl. Acad. Sci. 94:10450-10454 (1997)		
	CU	Irwin et al., Neuroscience Letters, 141:30-34 (1992)		
↓	CV	Laurie et al., European Journal of Pharmacology 268:335-345 (1994)		
	CW	McBain and Mayer, Physiological Reviews 74:723-760 (1994)		
Examiner: /Bruce Hissong/			Date: 08/20/2006	

FORM PTO-1449

ATTY DOCKET NO. 50553/60601-CIP SERIAL NO. 10/627,498

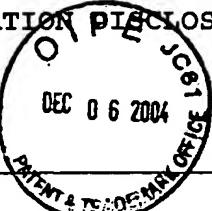
INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Farb et al.

DEC 06 2004

FILING DATE: July 25, 2003

ART UNIT: 1614



UNITED STATES PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPR

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRAN YES/NO
BH	BA	WO 97/03677	06.02.97	PCT	A61K	31/565	
↓	BB	0 606 734 A1	20.07.94	EP	C12N	15/12	
↓	BC	WO 01/57240 A2	09.08.01	PCT	C12Q	1/00	

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

Examiner: /Bruce Hissong/ Date: 08/20/2006

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/627,498-Conf. #3267
Sheet	1	of	1	Filing Date	July 25, 2003
				First Named Inventor	David H. Farb
				Art Unit	1614
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	60601CIP(50553)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (#/known)			
BH	AA	US-5,212,167	05/18/93	Farb	
BH	AB	US-5,366,968	11/22/94	Farb	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (#known)			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Bruce Hissong/	Date Considered	08/20/2006
--------------------	-----------------	-----------------	------------